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Title: Study of the chemical composition and the mechanical behaviour of 20th century commercial artists' oil paints containing manganese-based pigments

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Keywords: Modern oil paint, commercial formulations, manganese, umbers, mechanical properties, hydrolysis, FTIR, SEM-EDX, FTIR-ATR, XRD, TG-DSC, GC- MS

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Abstract: The present study deals with 20th century manufactured artists' oil paints containing raw and burnt umber pigments, this is, natural earth pigments resulting from the combination of iron and manganese oxides. Manganese, in particular, is known to be a primary drier and to have a siccative effect on oil paint films.

This research aims to show the diversity of formulations behind apparently same commercial names as well as to understand how the content of manganese, the presence of modern lipidic media and the hydrolysis mechanisms can promote significant differences in the expected mechanical properties of oil paint films, thus conditioning their long-term performance.

Several manufactured artists' oil paint films containing manganese were selected. Dried films from raw and burnt umber oil paints by Winsor&Newton® (UK), Grumbacher® (USA), Gamblin® (USA) and Speedball® (USA) were studied and information about their chemical composition and mechanical behaviour is here presented. In addition to the identification and the study of the inorganic and organic components present in each formulation through LM, SEM-EDX, FTIR-ATR, XRD, GC-MS analysis, tensile tests were run and stress-strain curves were obtained. Together with evident hue differences, the obtained results showed significant differences in the chemical composition and the mechanical behaviour of the oil paint films.

Dear Editors and Guest Editor,

Please find enclosed our manuscript tittled "Study of the composition and the mechanical behaviour of commercial oil paints containing manganese-based pigments".

In this research slected raw and burnt umber oil paints films manufactured by Winsor & Newton<sup>®</sup>, Gamblin<sup>®</sup>, Grumbacher<sup>®</sup> and Speedball<sup>®</sup> have been studied. Taking a traditionally ambiguos term such as umber as common thread, this research brings together a whole set of analytical techniques (LM, SEM-EDX, FTIR-ATR, XRD, GC-MS) in combination with the study of the mechanical properties of selected paint films with the aim to identify what exactly can be found nowadays in comercial burnt and raw umber oil paint films, how do they behave and age, and therefore, what can be expected in time in terms of alterations in the context of the painted structure. Results have contributed to understand how the interactions between pigment and medium influence paint's performance and also highlight the relevance of accuracy in the identification of the exact failure mechanisms responsible for each challenging studio case conservators have to face in day-to-day practice.

It is our hope that this paper meets the requirements and fits into the approach of this special Issue on Analytical methods to study modern and contemporary art.

Yours sincerely,

Francesca Caterina Izzo on behalf of the authors

#### **Detailed response to Reviewers**

**Dear Reviewers** Dear Editor

First of all, we would like to thank you for the comments you did about our article and the interest showed on our research. We took into consideration your suggestions for improving the text, which is attached as revised manuscript.

A detailed response to your comments/corrections/suggestions is here presented:

Reviewer #1:

Main comments

1. Composition of the oils, P/S and A/P ratios. The discussion of the composition and mixtures of oils with regard to P/S ratios (Table 2), Table 1, arachidic acid, and FTIR spectra (section 3.1.2) is good, but questions remain. Please indicate the P/S ratio of pure safflower oil.

The P/S ratio of safflower is now indicated in the revised manuscript.

Is it possible to make assumptions to the amount of metal stearates that were added, e.g. in Grumbacher paint with very low P/S ratios? Were these not added to Gamblin paints with significantly higher P/S values? Would the FTIR spectra support these conclusions? Fig. 10 does not seem to display large differences in the region of the soap absorptions (1580, 1460 cm-1, section 3.1.2).

Comments about metal stearates are included in the paragraph 3.1.2 and 3.1.3.

Although it is not possible to make precise assumptions to the amount of added metal stearates in the studied paints, their presence can be confirmed by the characteristic IR absorptions at around 1580 and 1460 cm-1, as observed in Grumbacher® and Gamblin® IR spectra (thus, more than 5% of the paint mixture, since this is the detection limit of FT-IR technique)

Were there any indications of castor wax in the samples? If not, please indicate this in the text (c.f. 3rd paragraph of section 3.1.2).

The presence of other additives (such as castor wax, or natural waxes as stabilisers) and non-drying oils (such as castor oil as a lubricant) was not detected in the studied films. (paragraph 3.1.2)

The very low P/S values of the Grumbacher paints indicate that there might be significant (and not just minor) additions of additives such as metal stearates. Unfortunately these additives are not considered in the discussion of the mechanical properties of the paints. Usually additives such as stearates are added to modify the pigment-binder interactions and accordingly to alter the paints' rheological properties. I would expect this to have a major influence on the mechanical properties of the resulting film too. This aspect is not sufficiently discussed in the paper.

#### In the Conclusions&Future perspectives we say:

This is an interesting stimulus for further investigation of the exact role additives play in the behaviour of commercial paint films to understand to which extent they can enhance the changes observed in the mechanical properties of the different commercial paint films.

In the paragraph preceding section 4, it is stated that the A/P ratios are correlated with the degree of oxidation of the paints. Therefore, this should be expected to correlate with age and with the initial composition of the drying oils. However, the A/P ratios show neither correlation with the composition of the oils (addition of safflower oil, Table 2) nor age (Table 1): the paint film SP-BU is more than twice as old (32 years) as the other paint films (15 years) but reveals the lowest A/P ratio (1,0). In the paragraph 3.1.3 we discussed a little further about A/P ratios for modern paints.

In the same paragraph (preceding section 4), it is stated that "samples with a higher A/P ratio were also those with a higher ultimate tensile strength both in raw and burnt commercial umbers (Table 5)". This is true, but Table 5 also shows that samples GA-BU and SP-BU have very similar A/P ratios (ca. 1,0) in contrast to GR-BU (1,8), but with regard to the ultimate tensile strengths, GA-BU forms a group with GR-BU (around 0,8) in contrast to SP-BU (0,4). The same is true for the %Mn. This paragraph has been corrected.

It becomes obvious that very important aspects of how A/P ratios correlate with ultimate tensile strengths are not considered. This should also be mentioned in a more prominent way.

As stated by reviewer#1, this aspect needs further research and has been reported in the conclusions.

Were the ultimate tensile strengths correlated with the fillers? Only the clays are discussed in the text. The fact of focussing the discussion on clays regarding the ultimate tensile strengths observed in the diferent films studied (and not considering the fillers in this research) was due to the known mechanical and dimensional properties of the single pigments alone. Previous reserach has shown the high sensitivity of clay pigments to moisture and therfore the significant changes that can be expected in their mechanical properties even with subtle changes in the environmental conditions. On the contrary, pigments typically added as fillers are extremely stable in broad RH ranges, and no signicant changes can be expected as a function of environment fluctuations. However, further research is needed to determine the exact composition of the filler content in such comercial oil paint formulations and to dilucidate where the boundary could be in the percentage of filler content that can influence paints' behaviour.

2. Description of the materials in section 2.1 The description seems not to be precise and accurate enough to understand which samples were used for the study:

The description of the materials in section 2.1 and the tables 1 and 1b has been corrected as suggested by reviewer #1. The fact that the same samples (dried paint films) were measured in different years at different ages has been indicated properly to avoid confusion.

3. Control whites (paragraph preceding section 3.2.1)

The observations made regarding the control whites has been reformulated as suggested by reviewer #1

#### 4. Correlation of speed and degree of crosslinking with mechanical properties

In section 3.2.1 (third paragraph, line 6) it is stated that "by catalysing the polymerization, the crosslinking of fatty acid chains is speeded up and this results in the increasing stiffness of the paint film", which correlates with the manganese content and the stress-strain curves (Figure 7). However, in Figure 7 it is also obvious that the manganese content is obviously not the only important aspect (compare GA-BU with GR-RU).

Actually, an acceleration of the drying speed would not necessarily lead to a higher degree of crosslinking and stiffness. As correctly described in the following section 3.2.2, this is rather correlated with the composition of the unsaturated fatty acids. A "greater degree of unsaturation of their fatty acids will give rise to faster cross-linking" and to a more densely cross-linked polymer. An acceleration of the drying speed by drying agents such as manganese ions would accelerate the drying speed but not much the degree of cross-linking, thus the same degree of crosslinking will be reached faster. After several years of ageing one would not expect a large difference.

## As stated by reviewer#1, an acceleration of the drying speed by drying agents such as manganese ions would accelerate the drying speed but not necessarily the degree of cross-linking (nor the stiffness): This is, the same degree of crosslinking will be reached faster. This paragraph has been corrected in the text.

The observed effect might indeed rather be explained by the formation of manganese soaps which crosslink the hydrolysed fatty acids? Please comment.

Regarding samples GA-BU and GR-RU (containing similar % Mn and presenting a significant difference in their stiffness in Figure 7) it is obvious –as stated by reviewer#1-, that there must be any additional reason apart from the manganese content to understand such behaviour. One aspect to explore further is the formation of manganese soaps and their interaction with the hydrolysed fatty acids as suggested by reviewer#1. The second aspect to explore as indicated in the coclusions is the role of additives in the mechanical properties of the selected paint films. The fact that Grumbacher® paint films are somehow stiffer and stronger than the Gamblin® ones may suggest that additives could eventually have a significant influence in these oil paint films' performance.

Additional comments

#### 1. Last paragraph of section 1:

The English language seems somewhat twisted here.

a) Line 1, rather: "this study intends..."? Line 2: commercially instead of commercial, rather "compositions of selected films of [...] paints and correlate them WITH their mechanical properties [...]".

b) Line 5: rather "it was possible", rather "demonstrate" instead of "understand". Lines 5,6: "tones and shades" vs. "colour": does the latter mean an actual colour hue or a nominal colour (name)? In other words: do the authors mean that "burnt umber" (written on the tube) can have different shades of colour hue? Please explain/reword.

c) Line 7: "predominance of one pigment or another": do you mean different mineral phases within ONE umber pigment? Or do you want to say that a paint called umber contains several pigments? I.e. one pigment can contain different mineral phases but is still one pigment?

d) Line 8: influence of refining process: this is also mentioned in the last paragraph before section 3.2.1, but I think is not discussed in the text.

The sentences were corrected according to the comments by Reviewer 1.

2. Last paragraph of section 2.2:

How was the thickness of the paint films determined? Please specify and whether there were significant variations in thickness. This seems relevant because the thickness should influence access of oxygen, light and humidity and therefore influence degree of oxidation and hydrolysis.

The thickness of the different free unsupported paint films was measured with a Mitutoyo® 103-137 micrometer. Except for the Speedball® paint sample, all the paint samples tested were around 0,25 mm (±8%). These details are reported in the text now.

3. Section 3.1.3, second paragraph, last lines, "silicates": should that read "clay" or "clay and silicates"?

#### Indeed, clay and silicates.

4. Section 3.1.3, last paragraph: "The study [...] has also revealed the presence of metal soaps containing manganese". How was this done? How was it possible to distinguish between manganese soaps and soaps from stearate additives (such as aluminum stearates)?

Actually, this didtinction is not easy, even possibile. We made a comment about this in the revised text.

Minor comments

All minor comments were considered and inserted in the revised text.

#### Reviewer #2:

1) Reducing the chemical characterization part by shortly commenting Table 2 and moving information and figures to the supplementary material. A summary of the data obtained and its interpretation should be provided in no more than two paragraphs. The characterization of both the inorganic and organic fractions are made by using common techniques and results are not striking. The only point in showing them is to compare with the literature and to be able to link them to the mechanical properties.

#### Rebuttal

This paper points out the changes in the mechanical properties observed for selected commercial oil paints, which had not been chemically characterised before.

Such an approach necessarily implies both the chemical characterization of the samples as well as the identification of the chemical processes responsible for the changes observed in time in their behaviour. It is actually the correlation of mechanics and chemistry the aspect that has scarcely been referred in the specialized literature and that focus the novel approach taken in this paper. It is for this reason that the section corresponding to the chemical characterization –even not being striking- should not be reduced to a couple of paragraphs for being relevant enough not only to be compared to the literature existing but also to explain to the changes observed in the mechanical properties.

a. The characterization of the organic fraction seems particularly incomplete. In none of the samples analysed a conclusion about the oils used as binders by the manufacturers can be reached. The impossibility of identifying drying oils on the basis of the GC/MS calculated characteristic parameters have already been discussed in the literature (Bonaduce et al., plos one 2012; Bonduce et al., J Therm Anal Calorim 2012). New strategies based on LC/MS/MS have been proposed in the literature and applied successfully to modern formulations (La Nasa et al., J Chromat A 2013). On the basis of this information, the discussion provided in paragraphs 3.1.2 and 3.1.3 should be reformulated avoiding as much as possible hypothesis not supported by experimental data.

The comments of the Reviewer were considered in the paragraph 3.1.2 and 3.1.3. However, the results reported are compared to previous studies by the authors which were based on experimental data on a large number of 20th century artists' oil paints.

b. Table 2 should allow a more simply access to the results obtained. There is too much information written instead of providing the raw data. The comments column is not necessary as far as it is repeating the information already given in the first two columns.

Table 2 was simplified as suggested by Reviewer 2.

2) Table 3, 7 and 8 can be moved to supplementary material We simplified and shortened Tables.

 Figures 1 to 5 could be moved to the supplementary material See Rebuttal.

4) A native speaker should correct the paper before resubmission A british speaker corrected the text.

Conclusions, therefore, should be reformulated in order to fit with the experimental data provided. Hypothesis should be reduced or further investigated if used to conclude about the composition of the paint formulations.

These suggestions were considered and conclusion were reformulated according to the comments.

## Highlights

- we present a multi-analytical study on 20th century commercial artists' oil paints, focusing on their chemical composition and mechanical behaviour

- the obtained results contribute to understand how the interactions between pigment and binding media influence the paint's performance

- By correlating the chemical analysis and the study of the mechanical properties of the selected paint films it was also possible to observe the influence of different oils with a different degree of unsaturation in the mechanical properties of paint films and the role of paints additives in the mechanical properties of the selected paint films.

# Study of the chemical composition and the mechanical behaviour of 20th century commercial artists' oil paints containing manganese-based pigments

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## Abstract

The present study deals with 20<sup>th</sup> century manufactured artists' oil paints containing raw and burnt umber pigments, this is, natural earth pigments resulting from the combination of iron and manganese oxides. Manganese, in particular, is known to be a primary drier and to have a siccative effect on oil paint films. This research aims to show the diversity of formulations behind apparently same commercial names as well as to understand how the content of manganese, the presence of modern lipidic media and the hydrolysis mechanisms can promote significant differences in the expected mechanical properties of oil paint films, thus conditioning their long-term performance.

Several manufactured artists' oil paint films containing manganese were selected. Dried films from raw and burnt umber oil paints by Winsor&Newton<sup>®</sup> (UK), Grumbacher<sup>®</sup> (USA), Gamblin<sup>®</sup> (USA) and Speedball<sup>®</sup> (USA) were studied and information about their chemical composition and mechanical behaviour is here presented. In addition to the identification and the study of the inorganic and organic components present in each formulation through LM, SEM-EDX, FTIR-ATR, XRD, GC-MS analysis, tensile tests were run and stress–strain curves were obtained. Together with evident hue differences, the obtained results showed significant differences in the chemical composition and the mechanical behaviour of the oil paint films.

**Keywords:** Modern oil paint, commercial formulations, manganese, umbers, mechanical properties, hydrolysis, FTIR, SEM-EDX, FTIR-ATR, XRD, TG-DSC, GC- MS.

## 1. Introduction

Modern and contemporary paintings are facing complexities not only due to the nature of materials and their ageing and response to environment conditions, but also derived from the interactions which different materials may experience in the context of a painted structure.

From this perspective and considering the variety of industrial formulations produced since the late 19<sup>th</sup> century, it is evident that the identification of specific damages in modern and contemporary painted surfaces is not easily ascribable to a single cause but to the synergic situations (even under strictly controlled environmental conditions). This suggests the need of combining the chemical-physical

characterisation and the study of mechanical and dimensional properties of painting materials to understand failure mechanisms and to design the appropriate conservation strategies.

The topic of the current research is the investigation of 20<sup>th</sup> century manufactured oil paints containing manganese-based pigments, such as Raw and Burnt umbers.

The growing interest around the study of the nature of 20<sup>th</sup> century artists' oil paints arose in the last decade to increase the knowledge related to modern and contemporary art materials and to respond to practical conservation issues. In particular, the investigation of manufactured oil paints was essential to understand the vulnerability observed in several well-bound oil paints from unvarnished artworks [1-5]. Recent studies highlighted the possible correlations between the degradation phenomena exhibited by modern oil paintings and 20<sup>th</sup> Century oil paint formulations, focusing in particular on the variety of vegetable oils used and the various organic additives typically introduced by paint manufacturers [6-7]. A fundamental role in the drying mechanism of commercial oil films is played by the presence of pigments. Umbers (both the natural/raw and the calcinated/burnt forms) are natural earth pigments composed of mixtures of iron and manganese oxides [8-11]. Previous studies showed that iron oxides have an inhibiting effect on the oxidative polymerization of the drying oils [12] and accelerate the formation of scission products by photo-oxidation [13].

Generally, paint films containing earth pigments are extremely flexible, weak [14] and sensitive to moisture [15-17]. The clay content in earth-based oil paint films is the reason for their ability to absorb water and undergo severe swelling, even with moderate oscillations of relative humidity. It has been proved that paint films containing umbers experience a significant dimensional response with high levels of relative humidity (between 75% and 90% RH), with both linseed and safflower oil media [15]. Under these conditions, umbers are flexible and elongable at break and present a very low ultimate tensile strength [16]. However, manganese is also a primary drier and therefore manganese-based pigments are known to have a siccative effect on oil paint films [18-21]. During the oxidation reaction in film forming, the ion Mn<sup>2+</sup> exerts through-drying properties which promote surface drying in the curing of oil paints. During hydrolysis of an oil film, free fatty acids are released and can migrate in the oil, reacting with metal ions present in the pigment and leading to the formation of metal soaps. As reported in [22], the greater amount of manganese oxides is present, the higher percentages of manganese ions dissociate from these oxides and dissolve in the oil accelerating its drying. Finally, an increase in the amount of manganese ions result in an increased stiffness of the paint film. The intrinsic characteristics of umbers and their interactions with the binding media govern paints performance and stability in mid-to-long terms, being also responsible for paints specific ageing and vulnerability.

Based on the above mentioned previous researches, this study addresses the issues related to the chemistry and the mechanical behaviour of 20<sup>th</sup> century raw and burnt umber oil paint films. The present research, in fact, correlates the chemical composition and the mechanical properties observed for selected manufactured umber oil paint films after being naturally aged for several decades, aiming to understand the influence of manganese in the formation of oil films, the role of manganese in paint films' mechanical behaviour and the way in which paint films containing manganese may perform in the mid-to-long term in the context of a painted structure.

#### 2. Materials and methods

#### 2.1. Paint samples and sample preparation

All the paints tested in this study belong to the Mecklenburg's Paint Reference Collection at the Smithsonian Center for Materials Research and Education (USA). The selected raw and burnt umbers paints were manufactured by Winsor&Newton<sup>®</sup> (W&N), Gamblin<sup>®</sup>, Speedball<sup>®</sup> and Grumbacher<sup>®</sup>. The oil films tested and discussed in this paper were prepared in the 1980's and 1990's on polyester film and allowed to dry in controlled environmental conditions during a period ranging from 15 to 35 years.

#### < place Table 1a here>

#### < place Figure 1 here>

Table 1a reports a detailed description of the commercial oil paint films, which are showed in Figure 1. The composition of these paint films was studied during this research (in 2014). Their mechanical behaviour was also tested during this study, but further discussion was possible by comparing the stress–strain curves obtained in 2014 with those obtained from the same films in 2003 and reported in [17].

Two white oil films, prepared for the Mecklenburg's Paint Reference Collection by mixing pigments in cold pressed linseed oil (CPLO) [14] were additionally used for comparing the mechanical behaviour of manganese-containing film. Since lead white in CPLO is known to provide stiff and tough films and zinc white in CPLO presents a brittle behaviour, the aim of such comparison was to provide a broad understanding of the plasticity of the different umber paint films tested in this study. Their details are reported in Table 1b.

## < place Table 1b here>

The thickness of the different paint films was measured with a Mitutoyo<sup>®</sup> 103-137 micrometer. Except for the Speedball<sup>®</sup> paint sample, the thickness of the free unsupported paint films was around 0.25 mm (±8%).

## 2.2. Analytical techniques and methods

The commercial oil films were chemically and mechanically studied by the joint use of several analytical techniques to obtained data about the composition of the inorganic and organic fractions, their morphology, and the mechanical behaviour.

Microsamples were taken from the dried films and firstly examined under a Leica DMR microscope using reflected light at the 25× to 400× magnification.

Surfaces and cross sections of the film specimens were observed using a Jeol JSM 6300 scanning electron microscope operating with a Link-Oxford-Isis energy-dispersive X-ray microanalysis system. The analytical conditions were 20 kV accelerating voltage, 2.10–9A beam current and 15 mm distance. Samples were carbon-coated to eliminate charging effects. Elemental semiquantitative weight percentages were calculated by EDX analysis according to ZAF matrix correction) [23 -24].

FTIR-ATR analyses were performed with a Thermo Nicolet Nexus 670 FTIR spectrophotometer combined with a Smart Orbit Single Reflection Diamond ATR accessory, from 4000 to 400 cm<sup>-1</sup> for 64 scans with 4 cm<sup>-1</sup> resolution. Spectra were elaborated with Omnic 10.0 and Origin 9.0 softwares.

To identify organic binders and additives, the oil samples were transestherified using (trifluoromethylphenyl) trimethylammonium hydroxide, overnight reaction as described in [25-26]. GC-MS analysis was performed using a Trace GC 2000 instrument with a capillary Supelco Equity-5 column, 30 m, 0.25 mm, 0.5 um interfaced with Trace MS 2000 (Thermo Finningan). The inlet temperature was 300°C, the MS interface was at 270°C. The temperature program was set from 80°C to 300°C with a ramp of 10°C/min, held at 300°C for 2 minutes. The MS was run in Full Scan mode (m/z 40-600),1.9 scans/sec. Solvent delay was set at 4.5 min. The transfer line was at 240°C and the source temperature was 220°C. Electron lonisation energy was 70eV. Quantitative GC-MS analysis was performed using nonadecanoic acid as internal standard.

The molar ratios among the most significant fatty acids were calculated: palmitic to stearic acid (P/S), azelaic to palmitic acid (A/P), oleic to stearic acid (O/S) ratios were considered and compared to previous results obtained by the authors on 20th century artists oil paints as described in [7, 26].

Physical properties measurements were conducted using custom built miniature tensile testers [27]. Average sample measurements were 5 mm (width)  $\times$  0.25 mm (±8%) (thickness) and 20-mm (length). Umbers and white samples from the same paint strips casted in the 1990's and tested by Mecklenburg *et al.* in the very early stages of their drying process [17], were again tested for this study thus allowing the monitoring of changes induced after having been drying for 15 years in a controlled environment. For this purpose the polyester film was removed and the tests were run on free unsupported paint films. The specimens were mounted in testing gauges and conditioned in the chamber for 48 h at 50± 0.5% RH and 23±0.5 °C prior to testing. All the tests were conducted at the same strain rate and after the paints had fully equilibrated to the environmental conditions indicated.

#### 3. Results and discussion

## 3.1 Chemical characterization

This section presents the results from the study of the organic and inorganic fractions of the selected paint samples. Some considerations regarding the oxidation state of the paint samples are also reported.

## 3.1.1 Inorganic fraction

The results related to the inorganic fraction are reported in Tables 2 and 3.

< place Table 2 here> < place Table 3 here>

Analysing the composition of W&N, Grumbacher and Gamblin raw umbers films and taking into consideration the most meaningful elements detected by EDX analysis (such as Fe, Mn, Ca and Si-Table 3), some considerations can be done:

- a significant presence of iron was detected; it ranges from 16% in WN-RU (due only to the presence of goethite,  $\alpha$ -FeOOH) to 29.9 and 35.3% in GA-RU and GR-RU respectively (linked to the presence of both goethite and iron oxide (III), Fe<sub>2</sub>O<sub>3</sub>);

- the manganese content varies from 3.2% (GA-RU) to 6.6% (GR-RU) : it is present in the three commercial paints as pyrolusite MnO<sub>2</sub>, the typical manganese oxide present in umbers;

- WN-RU and GR-RU showed a similar content of calcium (15 and 15.8% respectively) which derives from the presence of calcite CaCO<sub>3</sub> and calcium phosphate Ca<sub>3</sub>(PO<sub>4</sub>)<sub>2</sub>; dolomite CaMg(CO<sub>3</sub>)<sub>2</sub> was additionally found in WN-RU; the calcium content in GA-RU derives exclusively from the presence of calcium carbonate;

- the silicon content varies from 4.8% in WN-RU (only related to the presence of magnesium silicate) to 16.5% in GA-RU. In the latter, the typical FT-IR absorption bands in the region between 3700-3600 cm<sup>-1</sup> (Figure 2) were observed: they correspond to layered silicates typically found in clays, which were further identified by XRD as kaolinite (Al<sub>2</sub>Si<sub>2</sub>O<sub>5</sub>(OH)<sub>4</sub>) and illite ((K,H<sub>3</sub>O)(Al,Mg,Fe)<sub>2</sub>(Si,Al)<sub>4</sub> O<sub>10</sub>[(OH)<sub>2</sub>,(H<sub>2</sub>O)]);

#### < place Figure 2 here>

Considering the manufactured burnt umbers oil paints, it can be observed that:

according to XRD analysis, GA-BU contains three iron-based minerals, namely hematite (α-Fe2O<sub>3</sub>), magnetite (Fe<sub>3</sub>O<sub>4</sub>) and maghemite (□-Fe<sub>2</sub>O<sub>3</sub>), whose presence justified the higher content of iron (38.7%) in comparison with WN-BU (34.4%) and GR-BU (31.1%). SP-BU contains hematite and goethite , but its total iron content is the lowest (25.8%): this might explain the fact that the SP-BU hue is lighter (see Figure 1);
generally, the manganese content is higher in burnt umbers than in raw umbers paints, except for the Speedball<sup>®</sup> paint. In all the paints, manganese oxides were detected by XRD analysis;
the calcium content is similar amongst the four commercial paints and derives from calcite (present in all the paints), dolomite (in WN-BU and SP-BU) and calcium phosphate (in GR-BU and SP-BU);
the % of silicon is generally higher than in the corresponding raw umbers; in the case of WN-BU the presence of silicon is completely linked to the presence of quartz, while in the other burnt umbers the contribute of several silicate-based minerals was recorded.

As an example, Figure 3 depicts the XDR diffractograms of Grumbacher<sup>®</sup> raw and burnt umber oil paints, highlighting the main differences observed amongst the two varieties of umber pigments.

## < place Figure 3here>

The comparison of the iron, manganese, calcium and silicon content between raw and burnt umbers from the same commercial brand is significant to understand how the pigments were produced by the same manufacturer. Since it is likely that the burnt umbers (WN-BU, GA-BU, GR-BU) were not obtained from their corresponding raw umber (WN-RU, GA-RU, GR-RU) through the traditional calcination process, a possible explanation for their different composition is that two umber varieties might have been mixed.

This occurrence may indicate that manufacturers used natural earth-based pigments in the formulation of the paints mixed with different oxides. SEM/EDX images show that iron and manganese oxides are dispersed into the paint matrix as fine particles together with coarse grains mainly composed of silicate and carbonate compounds: see for example Grumbacher<sup>®</sup> and Speedball<sup>®</sup> paints images displayed in Figure 4.

## < place Figure 4 here>

In fact, although the paints composition recalls the typical composition of traditional umber pigments, the use of natural earths enriched with iron and manganese oxides in the manufacture of paints cannot be excluded. This hypothesis may be explained by the limited natural resources available nowadays as well as the high extraction costs of natural deposits. According to this, the addition of oxides would have enabled manufacturers to vary and control the composition of the pigments, and consequently their coloration, thus ensuring reproducibility in the commercial production of the paints.

Furthermore, some identified silicate and carbonate compounds might actually be inorganic extenders added in the manufacture process to replace part of pigment volume, thus reducing the costs of raw materials [28,29]. The presence of dolomite in  $W\&N^{\circ}$  paints is also reported in other studies which identified this compound as an extender added by the manufacturer [30,31].

Calcite, silicon oxide compounds (particularly quartz) and kaolinite have likely the same function in the other studied paints, being some of the most important inorganic compounds used as extenders in the industrial formulation of 20<sup>th</sup> century pigments [7].

## 3.1.2. Binding medium and organic additives

The analysis of the manufactured paint films showed that all the examined paints were bound in lipidic media.

Together with the typical IR absorption bands observed in the IR spectra (see figures 4 and 10), all the obtained GC-MS chromatograms show the typical composition of drying oil-based paints in terms of saturated, unsaturated and difatty acids [20]. As an example, figure 5 illustrates the total ion current chromatographs of Grumbacher<sup>®</sup> raw umber, W&N<sup>®</sup> burnt umber and Speedball<sup>®</sup> burnt umber paint samples, in which the presence of derivatives of glycerol and methyl esters of saturated (palmitic, stearic), dicarboxylic (suberic, azelaic and sebacic ) and unsaturated (oleic C18:1) fatty acids is highlighted.

## < place Figure 5 here>

In table 2, the most significant fatty acid molar ratios (A/P, P/S, O/S) are reported for all the studied films. While for traditional oil paints, these ratios provide important information about the type of drying oil (based on P/S ratios), drying, ageing and oxidation rate (based on A/P and O/S ratios) [20, 32, 33], recent studies outlined that these ratios are not completely reliable for modern and contemporary oil paints [7, 26, 34].

Since P/S ratios alone cannot provide absolute proof of the kind of oil in modern formulations due to different contributions which may interfere (e.g. the addition of metal stearates, castor wax, semi drying oils, non-drying oils, etc) [1, 7, 26,], the information given by manufacturers and the occurrence of any special fatty acids were additionally considered [26, 33, 35]. W&N declared to use a mixture of linseed and Safflower oils, Grumbacher® and Gamblin® referred to employ alkali refined linseed oil, while Speedball® linseed oil (see Table 1).

In the case of Gamblin<sup>®</sup> and Speedball<sup>®</sup> paints the P/S ratios and a significant amount of arachidic acid indicate a possible mixture of two oils: linseed oil (the traditional medium with known siccative properties with P/S ratio of about 1.6) and safflower oil (P/S ratios ranging from 2 to 2.5). Safflower is a semi-drying oil introduced during the 20<sup>th</sup> century by the paint industry and widely used alone or in mixture with linseed oil because its lower tendency to yellowing. This oil normally contains a higher amount of arachidic acid than linseed oil [35]. The trading houses usually vary the percentages of the oils mixed to balance the drying properties and to avoid the problem of yellowing of linseed oil [29].

In the case of W&N<sup>®</sup>, the P/S ratios are 1.33 for WN-RU and 1.65 for WN-BU, which could be traditionally referred to linseed oil [32]. However, the presence of a mixture of linseed and safflower oil cannot be excluded. From previous studies, it is known that W&N<sup>®</sup> uses this specific mixture in their oil paints [7, 29], as they actually declared.

Grumbacher<sup>®</sup> P/S ratios are lower than the traditional values identified for linseed oil (0.96 and 0.87 for GR-RU and GR-BU respectively). Such values may indicate the presence of metal stearates (in particular

aluminium stearates) which were commonly added in the 20<sup>th</sup> century in paint formulations as dispersing agents [26, 36] and can affect the normal P/S values of linseed oil depending on their amount, as reported in other studies [7,26]. Their P/S ratio is, in fact, 0.5-0.8. Although it is not possible to make precise assumptions to the amount of added metal stearates in the studied paints, their presence can be confirmed by the characteristic IR absorptions at around 1580 and 1460 cm<sup>-1</sup>, as observed in Grumbacher® and Gamblin® IR spectra (thus, more than 5% of the paint mixture, since this is the detection limit of FT-IR technique). The presence of such additives in Grumbacher® and Gamblin® paints may be also correlated with the need to improve the dispersion of pigments after the alkaline refining treatment of the binding medium [37].

The presence of other additives (such as castor wax, or natural waxes as stabilisers) and non-drying oils (such as castor oil as a lubricant) was not detected in the studied films

## 3.1.3. Considerations regarding the oxidation state and the condition of the paint samples

For traditional dried and aged oil paint films, the azelaic/palmitic (A/P) ratios are generally higher than 1 and the oleic/stearic (O/S) ratios are normally around 0.1 -0.2 [38].

Observing the ratios reported in Table 2, it is possible to make some assumptions about the oxidation state of the studied oil films:

- The A/P ratios for umbers oil films ranged from 0.99 (SP-BU) to 1.82 (GR-BU). Although it would have been expected a higher A/P for the Speedball<sup>®</sup> paint which was casted in 1981, its thickness (bigger than the other films, see Table 1) and the different content of manganese and iron-based compounds are probably the responsible for having the lowest A/P ratio.

The A/P ratios are in fact known to be strictly dependent on various factors, such as the oxidation state, the availability of oxygen, the degradation and the level of polymerisation, the thickness and the age of the film, etc. Moreover, as seen for P/S ratios, A/P ratios can be influenced by the presence of dispersing agents such as aluminium stearates, which contain not only stearates but also palmitates [7, 26].

- The O/S ratios indicate that all the samples present a significant degree of oxidation. In the case of Speedball<sup>®</sup>, the low O/S ratio might be related with its longer drying time (it was casted in 1981) compared to the other commercial paints studied (casted in 1999). Higher ratios can be observed in W&N<sup>®</sup>, which is indicative of less mature paint films for a same given drying time. However, these comparisons between different paint films are not completely reliable since -even within the same type of drying oil- the initial quantity of oleic, stearic and palmitic acids may be different. Again, O/S ratios can be influenced by the presence of mixtures of oils as well as by dispersing agents.

- Comparing the FT-IR spectra, it can be noticed that there is a pronounced absorption band visible at approximately 1710 cm<sup>-1</sup> (see figure 4 and 10; note in particular the second derivative of the latter IR spectrum). This absorption is due to the stretching vibration of C=O of the carboxyl group of free fatty acids. These acids are always present in a drying oil as secondary components and/or added as free carboxylates (eg. metal stearates) but they may increase during ageing as a result of degradation phenomena such as hydrolysis, with consequent enhancement of the carboxylic band [39]. It might be assumed that some of the oil paint films studied may have undergone hydrolysis over time, with release and consequent increase of free fatty acids in the oil and the formation of metal soaps. This problem seems to be frequent in earth-based oil paint films. In previous studies [22], it was already stated that paints containing earths, such as umber, ochre, and sienna, tend to hydrolyze in a very short time. Despite it is not

clear why this phenomenon of degradation of the oil can take place more easily in the presence of such pigment, it could probably be related to the hygroscopicity of the clay and silicates they contain, and their ability to absorb moisture.

Besides the possible addition by manufacturers of metal stearates, the presence of metal soaps detected by GC-MS ratios and FT-IR analysis can differently/also be associated to metal soaps containing manganese. These compounds may have formed by the reaction between free fatty acids (increased due to the possible hydrolysis phenomena mentioned above) and the metal ions from the oxides dissociated in the oil. As reported in [18], hydrolysis -and the resulting release of free fatty acids, increases the acidity of the oil and consequently its ability to dissolve partially the oxides of manganese . By increasing the contact time between the oil and such oxides, more manganese ions dissolve and migrate in the oil where they are able to accelerate its drying process and react with the free fatty acids leading to the formation of metal soaps. Moreover, as seen in previous studies, the presence of iron oxides (such as those found in all the manufactured umbers studied) may inhibit the effect on the oxidative polymerization of the drying oils and then accelerate the formation of scission products by photo-oxidation [12, 13]. This could be the case of GR-RU and GR-BU, in which the content of iron (present as iron oxide (III), goethite and hematite) is more than 30%, as reported in Table 3.

## 3.2. Study of the mechanical properties of raw and burnt umber oil paint films

The mechanical properties and dimensional response of oil paint films are governed by the interaction between pigments and media. Previous researches showed that, with some exceptions, the stiffness and strength of oil paint films tend to increase during ageing whereas the strain to failure decreases progressively. One of these exceptions are earth-based paint films.

Figure 6 represents the different stress-strain curves of the studied raw and burnt commercial umbers together with those of different white paint samples (see 2.1, Table 1b). It provides an interesting insight into the specific behaviour of umbers as well as the role played by pigments in their mechanical properties. In this figure, lead white in CPLO represents a durable, strong and stiff oil paint film whereas zinc white CPLO paint film represents the stiffness that some oil paints can achieve over time.

#### < place Figure 6 here>

Mecklenburg *et al.* also showed that the presence of siccatives (i.e.: litharge)- even in small amount, speeds up oil paints drying but also induce significant changes in their mechanical properties [14,15,27]. According to this, the particular mechanical behaviour observed in the studied umber paint films can be explained as a function of the nature of the pigment and the composition and refining processes which oil underwent. In addition, the early hydrolysis umbers experience during drying strongly influence the film forming process in all the studied paints and determine their plastic behaviour.

#### 3.2.1. The influence of the inorganic fraction of umbers in their mechanical properties.

The intrinsic nature of earth pigments influences the mechanical properties of oil paint films in two ways.

On the one hand, the clay content induces severe swelling in earth-based paint films making them become extremelly weak and dimensionally responsive to moisture and therefore vulnerable to humid environments as well as to solvent action [14]. The plastic behaviour of clays is also due to their typical layered silicate structure. Layered silicates (e.g. kaolinite and illite) were found in GA-RU and this could help explain the greater plastic region of this sample in comparison to that of Grumbacher<sup>®</sup> which does not contain layered silicates (Table 2).

On the other hand, previous studies have shown that the presence of siccative pigments can induce significant changes in the mechanical properties of oil paint films. This study evidenced that the higher content of manganese the stiffer the paint film is. This is true for both raw and burnt umbers. Figure 7 presents the stress strain curves for the different commercial raw and burnt umbers naturally

W&N<sup>®</sup> paints were not mechanically tested since they were extremely weak and broke easily when cutting the samples for testing.

#### < place Figure 7 here>

aged.

The greater the amount of manganese oxides in the pigment is, the higher the percentage of manganese ions able to dissociate themselves from such oxides and dissolve in the oil, where they exert their siccative action. This results in a higher stiffness of those paint films with higher percentages of manganese. As shown in figure 7, Grumbacher<sup>®</sup> paint films -characterized by a higher percentage of manganese (6.6% in GR-RU and 11.6% in GR-BU)- are stiffer than Gamblin<sup>®</sup> ones (whose content of Mn is 3.2% in GA-RU and 6.2% in GA-BU).

Equally relevant, by catalyzing the polymerization, a faster crosslinking of fatty acid chains takes place and therfore paints' drying is speeded up.

#### < place Table 4 here>

The higher content of manganese may have accelerated the process of polymerization of the oil in Grumbacher<sup>®</sup> paint samples. The higher content of manganese would have also resulted in a higher elastic modulus. These observations are in agreement with previous studies where an increase of the stiffness was observed with an increasing amount of manganese ions present in the oil paint films [17,22]. Equally interesting is the superposition of raw and burnt umbers stress strain curves which evidences significant trends (Figure 7). Even if the strength at break is extremely low in all cases, and a similar ability to elongate is also evident for raw and burnt umbers, GA-BU and GR-BU, are about four times stronger and twice stiffer than their corresponding raw ones, GA-RU and GR-RU. Speedball<sup>®</sup>, the weakest burnt umber tested, is even stronger and stiffer that any of the commercial raw umber studied but less elongable at break.

It is also interesting to note that umbers present a high oil absorption index, which means that require a high amount of medium to grind (up to 80%) and some studies suggested that this is the reason for their tendency to become darker with age [40]. The highly absorbency of umbers together with the fact that Gamblin<sup>®</sup> and Speedball<sup>®</sup> present the lower % content of manganese in their composition as well as a mixture of linseed oil together with a slow drying oil like safflower, could also help explain why they were still soft and even sticky whereas the rest of the paint samples studied were completely dry after similar drying times (see Table 5).

Finally, the selected paint samples were already tested and analyzed in the early years of their drying process. As displayed in Figures 8 and 9, this comparison allowed to monitor the changes occurred during the last 15 to 35 years (depending on the paint film) in the chemical composition and the mechanical properties of the selected paint films.

< place Figure 8 here> < place Figure 9 here>

## 3.2.2. The influence of the organic fraction of umbers in their mechanical properties

Regarding to the influence of the organic composition in the mechanical properties of the paint films, the different degree of unsaturation of the oils can also be considered. For a same given age (or drying time), the degree of crosslinking of different drying oils depends on the different composition of the unsaturated fatty acids. Fast drying or more siccative oils will give rise to faster cross-linking, being more reactive and viscous, and presenting a greater number of double bonds, where radicals attach themselves and where further crosslinking takes place with other fatty acid chains. Moreover, the more cross-linked, the stiffer and stronger the paint film will be.

In the case of the studied paints, a greater crosslinking of the oils could also explain the higher ultimate tensile strength of GR-RU and GR-BU paint samples (Figs. 7 and 8) compared to Gamblin<sup>®</sup> ones (which seems to be rather more elongable). Speedball<sup>®</sup> on the contrary, even though it presents a similar elastic modulus than Grumbacher<sup>®</sup>, is significantly weaker and presents a lower elongation at break (figure 8).

As explained previously, a high amount of linoleic acid (doubly unsaturated) typically found in safflower oil as well as a negligible percentage of the more unsaturated linolenic acid (triply unsaturated) typically found in the linseed oil instead were suggested by GC-MS analysis. The analysis evidenced a mixture of linseed and safflower oils in Gamblin<sup>®</sup> and Speedball<sup>®</sup> paint films as well as the possible presence of aluminium stearate in both commercial brands that could have been added to reduce the excessive amount of oil needed to wet the pigment [19, 36]. This could have eventually enhanced the response to moisture of such paint films [1,3]. On the other hand, previous studies showed that despite all drying oils respond similarly to RH oscillations, for a same given pigment some differences in the % length change were observed as a function of the drying oil present in their composition, being safflower oil the one that experiences the most significant dimensional response, especially with high RH environments [15].

# **3.3.3** The chemical degradation of raw and burnt umber oil paint films and their correlation with changes in the mechanical properties

The condition of the paint films and the possible presence of hydrolysis phenomenona can be also related to the mechanical behaviour observed.

Generally in the case of umber-based oil films, after an initial fast polymerisation induced by the presence of siccatives such as manganese, the early hydrolysis experienced during drying leads to the increase of weakness and flexibility and the higher the percentage of hydrolysis is, the lower ultimate tensile strength and deformation at break of the paint film [41].

The reduction of the number of chemical bonds that occurs during hydrolysis leads to the formation of a paint film no longer able to resist elongation, which breaks down before it can reach important deformations. This is the case of SP-BU oil paint film.

FT-IR spectra of the three burnt umber paint films (Figure 10) shows a much more significant peak relative to the presence of free fatty acids (ca. 1710 cm<sup>-1</sup>) in the Speedball<sup>®</sup> sample, which presents a lower ultimate tensile strength and elongation at break if compared to GA-BU and GR-BU (Fig. 8). However, despite the weakness caused by hydrolysis as outlined above, Speedball<sup>®</sup> burnt umber paint film seems to present a similar stiffness to GR-BU (figure 7). The longer drying time of SP-BU paint film (casted in 1981 and therefore naturally aged for 35 years) could probably be one of the reasons for such behaviour.

#### < place figure 10 here>

Another interesting issue is the correlation of the mechanical properties of the different samples with the azelaic- palmitic (A/P) ratio obtained by GC-MS analysis. In the case of the burnt umber commercial oil paint films considered in the present study, those samples with a higher A/P ratio were also those with a higher ultimate tensile strength. The same was observed for the raw umbers studied. (Table 4). However, it is necessary to further investigate how A/P ratios correlate with the ultimate tensile strength of oil paint films in order to understand why there was such a big difference in the ultimate tensile strength of samples with very similar A/P ratios (ca. 1,0) (i.e. GA-BU and SP-BU).

## 4. Conclusions and future perspectives

This research was built up on previous studies dealing with the chemistry of 20<sup>th</sup> century oils and the study of the mechanical and dimensional properties of painting materials, and aimed to provide an insight into the chemistry of selected commercial raw and burnt umber oil paint films, their drying and degradation as well their implications in the changes observed in their mechanical properties during ageing.

The results obtained evidenced:

- The addition of iron and manganese oxides to natural earth pigments to control the composition and colour as well as to reduce production costs;
- the different shades of colour hues for a same given name manufactured by W&N<sup>®</sup>,
   Grumbacher<sup>®</sup>, Gamblin<sup>®</sup> and Speedball<sup>®</sup> as a consequence of both the predominance of raw or burnt umber as well as the different composition of binding media used in their production.
- The use of alkaline refined linseed oil or mixtures of linseed and safflower oil.
- The addition of inorganic inert materials as fillers;
- The possible addition of metal soaps to improve the dispersion of pigments in the oil.

By correlating the chemical-physical analysis and the study of the mechanical properties of the selected paint films it was also possible to observe:

- The influence of different drying oils with a different degree of unsaturation in the mechanical properties of paint films; The use of safflower oil –a cheap and slow drying oil used for its low tendency to yellowing- evidenced additional drawbacks in paints' film forming processes; More specifically the need to further investigate how A/P ratios correlate with the ultimate tensile strength of the paint film was observed.
- The role of pigments in the mechanical behaviour of the resulting paint films. On the one hand, the eventual clay content is responsible for the dramatic plasticity and vulnerability to moisture

(and solvents) of the films. On the other hand, the presence of some siccative pigments such as manganese contributes to make the paint film become stiffer in time. In addition, the significant presence of iron in all the samples studied and its eventual contribution to the formation of scission products by photo-oxidation requires further attention.

- The changing mechanical properties of oil paint films. The fact of using samples that were casted in the 1980s and 1990s and already tested and analyzed in the early years of their drying process also contributed to monitor the changes induced in time in the chemical composition and the mechanical properties of the selected paint films as a function of the pigments and medium present in their composition.
- The role of additives in the mechanical properties of the selected paint films. The fact that Grumbacher® paint films are somehow stiffer and stronger than the Gamblin® ones suggest that additives do influence paint film's performance. This is an interesting stimulus for further investigation of the exact role additives play in the behaviour of commercial paint films to understand to which extent they can enhance the changes observed in the mechanical properties of the different commercial paint films.
- -The changes induced by degradation phenomena such as hydrolysis, that lead to weak and soft films in the case of earthy paints;

Finally, this research has shown how complex the chemical characterization of modern oils is as well as the complexity behind the failure mechanisms observed in the selected paint films. As far as it can be deducted from the results, for a same given colour completely different behaviours and alterations can be expected in modern paintings as a result of the chemical and mechanical interactions of pigments and medium, and where the environment not necessarily has to play any role for degradation. There are still some issues left to understand regarding the exact interactions taking place between the organic and inorganic fractions of the different paint films and that will probably be dependent on the type and amount of additives present in each commercial formulation. Understanding such interactions will be crucial to design the proper conservation strategies when treating paintings but also when recommending environmental guidelines for our collections.

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#### **Caption for figures**

**Figure 1** – The commercial paint films selected for this study: a) WN-RU, b) WN-BU, c) GA-RU, d) GA-BU, e) GR-RU, f) GR-BU, g) SP-BU.

**Figure 2** – FT-IR-ATR spectrum of GA-RU. The peaks in the region between 3700 and 3600 cm<sup>-1</sup> are typical of stratified silicates.

**Figure 3** – comparison of GR-RU and GR-BU diffractograms. The comparison shows the presence of goethite in the raw umber, hematite in burnt umber, and pyrolusite in both types of pigments.

**Figure 4** – (above) GR-RU: SEM image of the section of the sample obtained by EBSD (2000X) (a), EDX of a grain of pigment identified as iron oxide (b). (under) GR-BU: SEM image of the section of the sample obtained by EBSD (2000X) (a), EDX of a grain of pigment identified as manganese oxide (b).

**Figure 5** – Total ion current chromatograms of Grumbacher<sup>®</sup> raw umber (a), Winsor & Newton<sup>®</sup> burnt umber (b) and Speedball<sup>®</sup> burnt umber (c).

**Figure 6** – Stress versus strain plots of commercial raw and burnt umbers in comparison with films pigmented with lead and zinc white.

Figure 7 – Stress versus strain plots of different commercial raw and burnt umbers.

**Figure 8** – Stress versus strain plots of Grumbacher<sup>®</sup> burnt umbers in different drying stages and with different manganese content.

**Figure 9** – Stress versus strain plots of Gamblin<sup>®</sup> burnt umbers in different drying stages and with different manganese content.

Figure 10 – FTIR-ATR spectra of Gamblin<sup>®</sup>, Grumbacher<sup>®</sup> and Speedball<sup>®</sup> burnt umber oil paint films.

#### Figure 1 Click here to download high resolution image









Electron Image 2













| Sample*      |       | Manufa                                                                                                           | Thickness                                                                                   | Casting Date | Tensile tests run in: |                                                          |  |
|--------------|-------|------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------|--------------|-----------------------|----------------------------------------------------------|--|
| Raw Umbers   | WN-RU | WINSOR & NEWTON <sup>®</sup><br>Artists Oil Colours                                                              | Raw umber, 554, Permanence AA, Series<br>1, Natural iron oxide (PBr7), LSO***               | 0.23 mm      | 18/03/1999            |                                                          |  |
|              | GA-RU | GAMBLIN <sup>®</sup><br>Artists Oil Colors                                                                       | Raw umber, Natural iron oxide containing manganese (PBr7), ARLO***                          | 0.23 mm      | 22/03/1999            |                                                          |  |
|              | GR-RU | GRUMBACHER <sup>®</sup><br>Pretested Artists Oil Colors<br>Pretested Artists Oil Colors<br>Oxide (PBk1), ARLO*** |                                                                                             | 0.22 mm      | 19/03/1999            |                                                          |  |
| Burnt Umbers | WN-BU | WINSOR & NEWTON®<br>Artists Oil Colours                                                                          | Burnt umber, 076, Permanence AA,<br>Series 1, Calcined natural iron oxide<br>(PBr7), LSO*** | 0.23 mm      | 18/03/1999            | 2003 (Mecklenburg et al. 2013) [17]<br>2014 (This study) |  |
|              | GA-BU | GAMBLIN <sup>®</sup><br>Artists Oil Colors                                                                       | Burnt umber, Calcined natural iron oxide containing manganese (PBr7), ARLO***               | 0.25 mm      | 22/03/1999            |                                                          |  |
|              | GR-BU | GRUMBACHER <sup>®</sup><br>Pretested Artists Oil Colors                                                          | Burnt umber, P024, Calcined natural iron<br>oxide containing manganese (PBr7),<br>ARLO***   | 0.27 mm      | 19/03/1999            |                                                          |  |
|              | SP-BU | SPEEDBALL®                                                                                                       | Burnt umber, #5602, Calcined iron oxide,<br>LO***                                           | 0.32 mm      | 21/02/1981            |                                                          |  |

#### Table 1a – Paint samples studied (from Mecklenburg's Paint Reference Collection)

\* RU = Raw umber; BU = Burnt umber; WN = Winsor & Newton; GA = Gamblin; GR = Grumbacher

\*\* based on manufacturers' datasheets available in the 1980-1990's

\*\*\*LSO = Linseed/Safflower oils; ARLO = Alkali refined linseed oil; LO = Linseed oil

| Paint Sample        | Pigment Volume<br>Concentration<br>(%) | Oil Volume<br>Concentration(%) | Pigment Weight (%) | Oil Weight (%) | Thickness | Casting Date | Tensile tests run in:      |
|---------------------|----------------------------------------|--------------------------------|--------------------|----------------|-----------|--------------|----------------------------|
| Lead White in CPLO* | 44.4                                   | 55.6                           | 84.1               | 15.9           | 0.26 mm   | 1999         | 2003 (Mecklenburg et al. , |
| Zinc White in CPLO* | 35.1                                   | 64.9                           | 75.1               | 24.9           | 0.26 mm   | 1999         | 2004) [19]                 |

Table 1b- White test paints made from pigments mixed in cold pressed linseed oil (from Mecklenburg's Paint Reference Collection)

\*CPLO= cold pressed linseed oil

#### Table2 – Resume of the results of the chemical characterisation

|         | Sample | Chemical composition                                                                                                                                                                                           |                                                                                       |  |  |  |  |  |
|---------|--------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------|--|--|--|--|--|
|         | Sample | Inorganic Fraction*                                                                                                                                                                                            | Organic Fraction**                                                                    |  |  |  |  |  |
|         | 14/61  | Goethite [ $\alpha$ -FeO(OH)], manganese oxides, magnesium silicate (Mg <sub>2</sub> SiO <sub>4</sub> ), calcite                                                                                               | Drying oil: P/S= 1.33, A/P= 1.73, O/S= 0.22                                           |  |  |  |  |  |
|         | WN-    | (CaCO <sub>3</sub> ), dolomite [CaMg(CO <sub>3</sub> ) <sub>2</sub> ], calcium phosphate [Ca <sub>3</sub> (PO <sub>4</sub> ) <sub>2</sub> ]                                                                    | Metal soaps (probably manganese carboxylates or added stearates as dispersing agents) |  |  |  |  |  |
|         | RU     |                                                                                                                                                                                                                |                                                                                       |  |  |  |  |  |
| Raw     |        | Goethite $[\alpha$ -FeO(OH)], iron oxide (III) (Fe <sub>2</sub> O <sub>3</sub> ), pyrolusite (MnO <sub>2</sub> ), kaolinite                                                                                    | Drving oil: P/S= 2.11, A/P= 1.04, O/S= 0.06                                           |  |  |  |  |  |
| Umbers  | GA-RU  | $(Al_2Si_2O_5(OH)_4)$ , illite (K,H <sub>3</sub> O)(Al,Mg,Fe) <sub>2</sub> (Si,Al) <sub>4</sub> O <sub>10</sub> [(OH) <sub>2</sub> ,(H <sub>2</sub> O)], potassium magnesium                                   | Metal soaps (probably manganese carboxylates or added stearates as dispersing agents) |  |  |  |  |  |
|         |        | silicate $[K_2(MgSi_5O_{12})]$ , calcium carbonate (CaCO <sub>3</sub> )                                                                                                                                        |                                                                                       |  |  |  |  |  |
|         |        | Goethite [ $\alpha$ -FeO(OH)], iron oxide (III) (Fe <sub>2</sub> O <sub>3</sub> ), pyrolusite (MnO <sub>2</sub> ), magnesium silicate,                                                                         | Drying oil: P/S= 0.96, A/P= 1.76, O/S= 0.16                                           |  |  |  |  |  |
|         | GR-RU  | sodium aluminium silicate, calcite (CaCO <sub>3</sub> ), calcium phosphate [Ca <sub>3</sub> (PO <sub>4</sub> ) <sub>2</sub> ]                                                                                  | Metal soaps (probably manganese carboxylates or added stearates as dispersing agents) |  |  |  |  |  |
|         | WN-    | Hematite ( $\alpha$ -Fe <sub>2</sub> O <sub>3</sub> ), pyrolusite (MnO <sub>2</sub> ), manganese oxide (Mn <sub>3</sub> O <sub>4</sub> ), quartz ( $\alpha$ -SiO <sub>2</sub> ),                               | Drying oil: P/S= 1.65, A/P= 1.74, O/S= 0.29                                           |  |  |  |  |  |
|         | BH     | spinel (MgAl <sub>2</sub> O <sub>4</sub> ), dolomite [CaMg(CO <sub>3</sub> ) <sub>2</sub> ], calcite (CaCO <sub>3</sub> )                                                                                      | Metal soaps (probably manganese carboxylates or added stearates as dispersing agents) |  |  |  |  |  |
|         | во     |                                                                                                                                                                                                                |                                                                                       |  |  |  |  |  |
|         | GA-BU  | Hematite ( $\alpha$ -Fe <sub>2</sub> O <sub>3</sub> ), magnetite (Fe <sub>3</sub> O <sub>4</sub> ), maghemite ( $\gamma$ -Fe <sub>2</sub> O <sub>3</sub> ), manganese oxide                                    | Drying oil: P/S= 2.08, A/P= 1.07, O/S= 0.10                                           |  |  |  |  |  |
| Burnt   | 0/100  | (Mn <sub>2</sub> O <sub>7</sub> ), mullite (Al <sub>6</sub> Si <sub>2</sub> O <sub>13</sub> ), quartz ( $\alpha$ -SiO <sub>2</sub> ), spinel (MgAl <sub>2</sub> O <sub>4</sub> ), calcite (CaCO <sub>3</sub> ) | Metal soaps (probably manganese carboxylates or added stearates as dispersing agents) |  |  |  |  |  |
| Umborg  |        | Hematite ( $\alpha$ -Fe <sub>2</sub> O <sub>3</sub> ), pyrolusite (MnO <sub>2</sub> ), anorthoclase [(Na,K)AlSi <sub>3</sub> O <sub>8</sub> ], wollastonite                                                    | Drying oil: P/S= 0.87, A/P= 1.82, O/S= 0.07                                           |  |  |  |  |  |
| Unibers | GR-BU  | (CaSiO <sub>3</sub> ), magnesium silicate, quartz ( $\alpha$ -SiO <sub>2</sub> ), calcium phosphate [Ca <sub>3</sub> (PO <sub>4</sub> ) <sub>2</sub> ], calcite                                                | Metal soaps (probably manganese carboxylates or added stearates as dispersing agents) |  |  |  |  |  |
|         |        | (CaCO <sub>3</sub> )                                                                                                                                                                                           |                                                                                       |  |  |  |  |  |
|         |        | Hematite ( $\alpha$ -Fe <sub>2</sub> O <sub>3</sub> ), goethite [ $\alpha$ -FeO(OH)], manganese oxides, sanidine                                                                                               | Drying oil: P/S= 2.06, A/P= 0.99, O/S= 0.02                                           |  |  |  |  |  |
|         | SP-BU  | $[(K,Na)(Si,Al)_4O_8], \ silicon \ oxide \ (SiO_2), \ anorthite \ (CaAl_2Si_2O_8), \ dolomite \ [CaMg(CO_3)_2],$                                                                                               | Metal soaps (probably manganese carboxylates or added stearates as dispersing agents) |  |  |  |  |  |
|         |        | calcite (CaCO <sub>3</sub> ), calcium phosphate [Ca <sub>3</sub> (PO <sub>4</sub> ) <sub>2</sub> ]                                                                                                             |                                                                                       |  |  |  |  |  |

\* XRD, FT-IR-ATR and EDX analysis

\*\* GC-MS and FT-IR-ATR analysis

| Sample | Fe   | Mn   | Са   | Si   | к   | S   | Р   | Ti  | Cl  | Al  | Mg   | Na  | Zn  | v   | 0    |
|--------|------|------|------|------|-----|-----|-----|-----|-----|-----|------|-----|-----|-----|------|
| WN-RU  | 16.3 | 6.5  | 15   | 4.8  | 0.3 | 0.8 | 2.7 | 0.1 | 0.1 | 3.8 | 13.9 | 0.3 | -   | -   | 35.5 |
| GA-RU  | 29.9 | 3.2  | 1.1  | 16.5 | 0.8 | 0.3 | 0.6 | 0.3 | 0.1 | 8.1 | 0.8  | 0.2 | 0.1 | -   | 38.1 |
| GR-RU  | 35.3 | 6.6  | 15.8 | 7.0  | 0.4 | 0.2 | 0.6 | 0.1 | 0.1 | 2.1 | 1.2  | 0.3 | -   | 0.1 | 30.4 |
|        |      |      |      |      |     |     |     |     |     |     |      |     |     |     |      |
| WN-BU  | 34.4 | 7.1  | 2.6  | 9.6  | 0.5 | 0.9 | 0.9 | 0.1 | -   | 5.1 | 4.5  | 0.3 | -   | -   | 34.1 |
| GA-BU  | 38.7 | 6.2  | 2.2  | 12.6 | 0.5 | 0.3 | 0.7 | 0.1 | 0.1 | 3.0 | 1.7  | 0.4 | -   | -   | 33.6 |
| GR-BU  | 31.1 | 11.6 | 1.8  | 13.5 | 0.9 | 0.1 | 0.6 | 0.1 | 0.1 | 3.8 | 1.7  | 0.5 | 0.1 | -   | 34.7 |
| SP-BU  | 25.8 | 5.6  | 2.1  | 17.1 | 1.2 | 0.2 | 0.7 | 0.1 | 0.1 | 5.7 | 1.5  | 2.4 | -   | 0.1 | 37.7 |

Table 3 – Results from SEM/EDX analysis (semiquantitative weight %, ZAF matrix correction)

Table 4 – Correlation of A/P ratios, %manganese and iron content and ultimate tensile strength

| Sample | A/P  | %Mn  | %Fe  | σ <sub>τs</sub> (MPa) |
|--------|------|------|------|-----------------------|
| GR-RU  | 1,76 | 6,6  | 35.3 | 0,24                  |
| GA-RU  | 1,04 | 3,2  | 29.9 | 0,19                  |
| GR-BU  | 1,82 | 11,6 | 31.1 | 0,94                  |
| GA-BU  | 1,07 | 6,2  | 38.7 | 0,72                  |
| SP-BU  | 0,99 | 5,6  | 25.8 | 0,37                  |

| SAMDLE         |       |               |               |                                                                                                                                                                                      |  |
|----------------|-------|---------------|---------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|
| SAN            | /IPLE | SECTION (63X) | FRACTURE (8X) | OBSERVATIONS                                                                                                                                                                         |  |
| RAW<br>UMBER   | WN-RU | <u>200 µm</u> | NOT TESTED    | Dry and weak                                                                                                                                                                         |  |
|                | GA-RU | 200 µm        | 2mm           | GA-RU presents soft and irregular<br>edges. Small and localized cracks<br>parallel to the direction of fracture<br>can also be observed.                                             |  |
|                | GR-RU | 200 µm.       | 2mm           | GR-RU presents soft and irregular<br>edges.<br>Several diffuse microfissures along<br>the surface as well as cracks<br>parallel to the direction of rupture<br>can also be observed. |  |
| BURNT<br>UMBER | WN-BU | 200<br>200 µm | NOT TESTED    | Dry and weak                                                                                                                                                                         |  |
|                | GA-BU | <u>200 µm</u> |               | GA-BU presents a fracture with<br>irregular edges                                                                                                                                    |  |

Table 5– Observations of Raw and Burnt umber films by optical microscope, before (section) and after mechanical test (fracture)

| GR-BU |        | <br>GR-BU presents a sharp fracture<br>that is perpendicular to the<br>direction of tension. The edges are<br>extremely smooth and the<br>deformation is concentrated close<br>to the breaking area. |
|-------|--------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| SP-BU | 200 µm | <br>SP-BU present irregular edges in<br>the fracture area and shiny areas<br>due to the separation of the binder<br>from the lipid inorganic component<br>can be observed.                           |